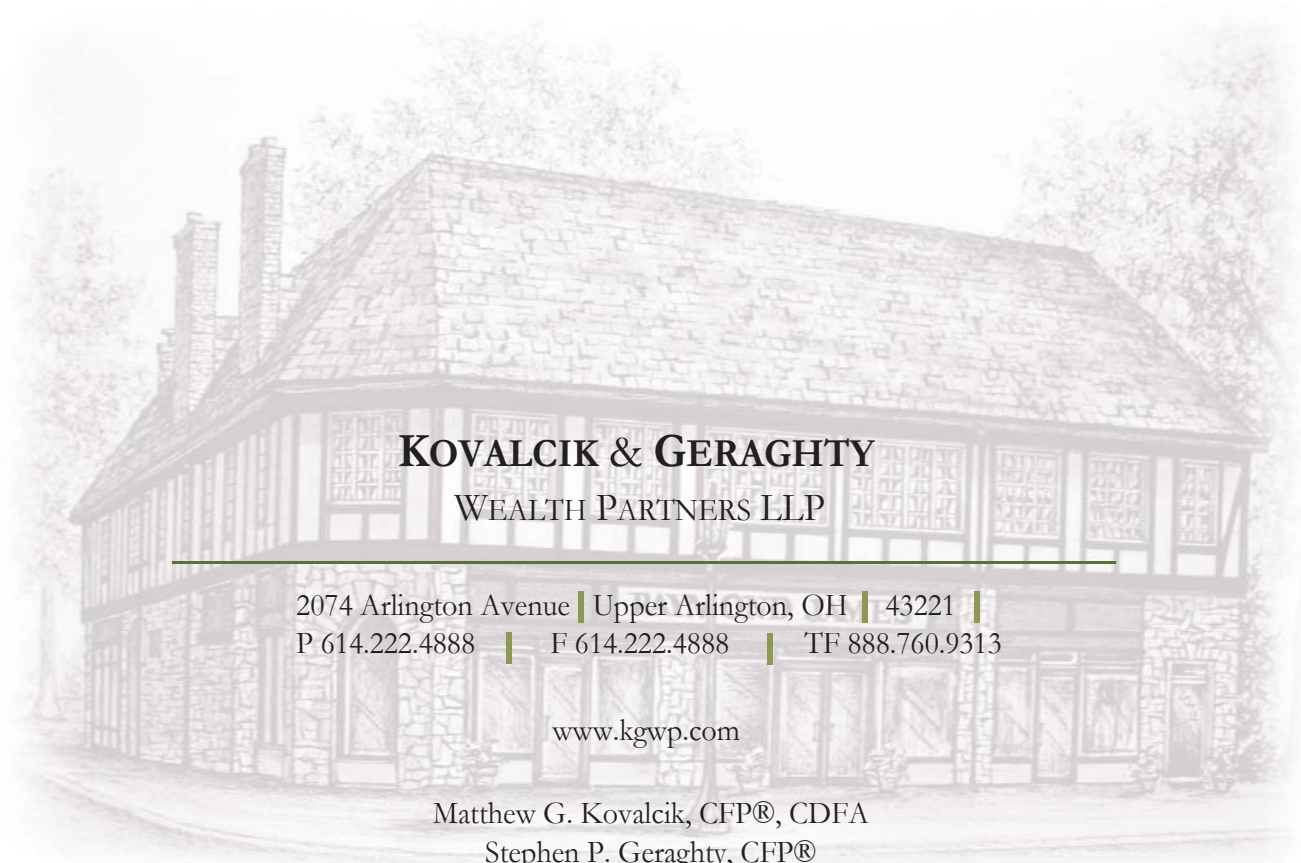


# Life Planning Questionnaire

Client Name: \_\_\_\_\_

Date: \_\_\_\_\_

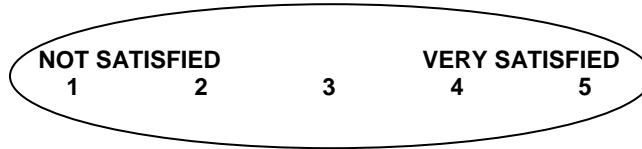


your partner in planning

**Financial Life Checkup**

**Name:** \_\_\_\_\_

*The statements below will help you think about and assess how satisfied you are with the aspects of your life. Please respond as honestly as possible – there are no right or wrong answers.*



**I AM ...**

- \_\_\_\_\_ with my ability to meet my financial obligations
- \_\_\_\_\_ with the income potential my current job or career provides me
- \_\_\_\_\_ with my spending habits
- \_\_\_\_\_ with the level of debt I carry
- \_\_\_\_\_ with the "extras" that I am able to buy for myself and my loved ones
- \_\_\_\_\_ with the level and quality of insurance protection I currently have
- \_\_\_\_\_ with the amount of money that I save and invest on a regular basis
- \_\_\_\_\_ with my current investment choices
- \_\_\_\_\_ that I am on track to build a sufficient retirement nest egg
- \_\_\_\_\_ with my understanding of the employee benefits I receive
- \_\_\_\_\_ with my style of personal bookkeeping
- \_\_\_\_\_ with my ability to keep track of my various investment accounts
- \_\_\_\_\_ with my plans for my children's or grandchildren's education
- \_\_\_\_\_ with my estate plan
- \_\_\_\_\_ with my level of charitable giving
- \_\_\_\_\_ with the financial education I have attained
- \_\_\_\_\_ with how I respond emotionally to my finances
- \_\_\_\_\_ with my ability to communicate my concerns regarding financial matters
- \_\_\_\_\_ that financial issues do not cause stress in my personal relationships
- \_\_\_\_\_ with the working relationships I have with my financial service providers (including, insurance agent, banker, financial planner, broker, accountant or attorney)

**Life Transitions Profile**

**Name:** \_\_\_\_\_

*Below is a list of life transitions that would change the landscape of your financial plan. If you are currently engaged in or anticipate becoming engaged in one of these transitions, please use the right-hand column to note the timeframe (using the guide below).*

**Timeframe:** C = Current N = Near Term (1-5 Years) L = Long Term (Over 5 Years)

<b>Family</b>	<b>C, N, L</b>
Change in marital status	
Planning for or expecting a child	
Helping and / or gifting to children or grandchildren	
Personal health issues	
Family health issues	
Long-term care	
Caring for a friend or family member	
Other	
Other	
Other	

<b>Work / Career</b>	<b>C, N, L</b>
Changes in career path	
Job loss or restructure	
Sell or close business	
Transfer family business	
Gain/Lose a business partner	
Slowdown / simplify work life	
Sabbatical / leave of absence	
Start or purchase a business	
Other	
Other	
Other	

<b>Charitable / Legacy</b>	<b>C, N, L</b>
Charitable giving	
Religious giving	
Develop / change estate plan	
Create / fund a foundation	
Create / fund a scholarship fund	
Other	
Other	
Other	

<b>Financial</b>	<b>C, N, L</b>
Purchase / Sell a home	
Relocate	
Purchase a vacation home	
Purchase a time share	
Consider an investment opportunity	
Receive an inheritance	
Purchase / Sell assets (other than residence)	
Involvement in lawsuit	
Fund children's or grandchildren's education	
Other	
Other	
Other	

**Dreams & Visions for Your Wealth**

**Name:** \_\_\_\_\_

*In the table below, you will find a number of uses for your wealth. Place an "X" in the column that best describes your feeling associated with each*

**Deeply Held Value:** a strong conviction. Identifies how your wealth should be used. This is a value that you "stand for."

**Ought To:** something you feel obligated to do. This may be a commitment you have made or a belief held by your family or society in general.

**Want To:** not an obligation or a deeply held value, but it is something you want to do.

Possible Uses for Your Wealth	Deeply Held Value	Ought To	Want To	N/A
Provide for my family's ongoing needs beyond day-to-day living expenses				
Support parents, siblings, or other family members				
Provide an inheritance for my children				
Enhance my current lifestyle, such as purchasing a second home, boat, car, traveling or an expensive hobby				
Support a major change in my career				
Initiate a different direction for my life				
Support religious organizations				
Support charitable organizations				